

BUDGET ISSUES

For the budget issues discussed below, the CEO evaluated the justification provided by departments and based recommended action on the following policy:

- Departments are expected to absorb most changes within existing appropriations.
- Departments should recognize unanticipated revenues where possible to help absorb other changes.
- CEO may recommend an appropriation transfer to a General Fund agency from elsewhere in the General Fund as a last resort.
- All departments requesting a new position shall delete an offsetting long-term (12+ months) vacant position.

Grant Survey Results

The grant survey report, completed by County agencies and departments, covers the period January 1 through March 31, 2006. Agencies/departments were asked to report on any grant activities during this period, including any grant application in process, applied for, or awarded.

Summary of County Grant Activities

- Of the 24 County agencies/departments, 11 reported having no grant activity during the Third Quarter of FY 2005-06.
- During the reporting period, 13 County agencies/departments received a total of \$3.6 million in grant funds, and a total of \$161.6 million still pending notification. Please note that these amounts include a number of grants awarded over multiple years as expenditures occur and claims are submitted for approval. (Please see specific department details in the grant survey results document at the end of the *Budget Issues* section.)
- Agencies/departments reported a Countywide total of at least 11 positions responsible for grant related activities, with an estimated staffing and services & supplies cost of \$0.9 million. These figures include departments that have identified specific staff dedicated to grant responsibilities; however, other departments only assign staff to the function as necessary.
- Resources being used to locate and apply for grants vary among departments but include eCivis Grant locator service, internet grant web pages, and professional association & governmental publications/notices.

Program I – Public Protection

District Attorney (Agency 026)

- Increase appropriations by \$324,444 offset by revenue from the State to implement the DUI Vertical Prosecution Program approved by the Board on January 10, 2006. Recognize the addition of one Attorney Clerk II and one Deputy District Attorney IV also approved by the Board on January 10.
- Increase appropriations by \$600,000 offset by revenue from the State for the State Disability and Health Insurance Fraud Investigation and Prosecution Program approved by the Board on March 7, 2006.

Sheriff Communications (Agency 055)

Transfer appropriations of \$511,200 from various Salaries and Benefit object codes to Object 4000 for the purchase of the following equipment: a smart zone controller, replacement microwave batteries, and intercoms at the Theo Lacy and James A. Musick facilities.

Probation (Agency 057)

- Increase appropriations by \$200,000 offset by higher than anticipated revenue from the Franchise Tax Board Debt Recovery Program.
- Transfer \$800,000 from Object 1900 Professional Services to Object 4000 for the purchase of new network switches. The switches were requested in the FY 06/07 New Information Systems budget (Agency 038), but the request was denied. Funding is available in the current year for this equipment purchase due to the non-award of the Collections Software Module contract.

Sheriff-Coroner (Agency 060)

- Establish a \$221,136 operating transfer out from Agency 060 and a \$27,586 operating transfer out from Jail Commissary Fund 143 to Transportation Fund 296 to cover the difference between the total cost of new replacement vehicles and the retired vehicles for FY 05-06.
- Transfer \$35,000 from Object 0103 Overtime to Object 4000 for the purchase of a Computer Voice Stress Analyzer/Dell Latitude Notebook Computer Pentium M740 (\$11,000), and computer upgrades (\$24,000) for the Criminal Investigation Bureau and Computer Forensics Unit. Transfer \$44,000 from Object 1300 Maintenance to Object 4000 for the purchase of server and hardware equipment to maintain uniformity throughout the Sheriff's Department.
- Establish a \$99,000 operating transfer out to Transportation Fund 296 for the purchase of two Class G vehicles (\$50,000) to transport canines associated with the Narcotic Detention Canine Program at the Theo Lacy facility, and two Class BB vehicles (\$49,000) for the Reserve Forces Bureau. The cost of the vehicles will be absorbed within the existing budget.
- Increase appropriations by \$58,520 offset by revenue from the State of California 911 Emergency Communications Reimbursement for enhanced 911 services.

- Increase appropriations by \$18,693 funded by revenue from the contract city of Dana Point for the purchase of a Mobile Data Computer.
- Increase appropriations by \$164,395 offset by revenue from the 2005 DNA Enhancement Program. The funding will be used to improve laboratory infrastructure and analysis capacity through the purchase of instruments, computers, software and supplies, and a remodel of the laboratory to accommodate additional DNA analysts.
- Increase appropriations by \$75,720 offset by revenue from the Reimbursement Agreement between the Federal Bureau of Investigation and the Joint Terrorism Task Force for reimbursement of overtime costs for officers assigned to the Joint Terrorism Task Force.
- Transfer \$45,000 from Agency 060 to Fund 14Q Construction and Facility Development for funding of the previously approved Coroner Security Surveillance System project.

DNA Identification Fund (Fund 12J)

Transfer \$325,000 in appropriations from Object 2400 to Object 4800 to establish operating transfers to reimburse the Probation Department (\$110,000) and Sheriff-Coroner (\$215,000) for expenses related to DNA collections as required under Proposition 69. These funds represent Orange County's portion of the fees collected pursuant to Government Code Section 76104.6(a).

State Criminal Alien Assistance Program (Fund 13P)

Transfer \$154,672 from Fund 13P to Health Care Agency (042) for position costs associated with the jail X-ray program.

Public Safety Sales Tax (Fund 14B)

Decrease operating transfer out to Sheriff-Coroner Agency 060 by \$11,800,000. Various capital projects and major purchases were budgeted in Agency 060; however, it is requested that these projects be budgeted in other funds. Establish \$12,720,220 operating transfer out to Sheriff-Coroner Construction and Facility Development Fund 14Q for various capital projects including Theo Lacy kitchen repairs, Central Jail Complex consolidated maintenance project, acquisition of new office space/alternate Emergency Operations Center, Musick kitchen repairs, replacement of the Uninterruptible Power System at Loma Ridge, conversion of building space to a metal shop, and modification of the Coroner vehicle gate. Establish \$2,000,000 operating transfer out to Inmate Welfare Fund 144 to correct prior years charges for jail visitation costs. Establish \$7,250,000 operating transfer out to Sheriff-Coroner Replacement and Maintenance Fund 13R for replacement and repair of critical systems and equipment such as the payroll system upgrade, helicopter maintenance, network upgrade, and inmate bus replacement.

Sheriff's Supplemental Law Enforcement Services (Fund 14G)

Transfer \$259,970 from Fund 14G to Sheriff-Coroner Construction and Facility Development Fund 14Q for replacement of the Direct Digital Controls of the heating/ventilation/air condition system in the Central Jail Complex.

Program II – Community Services

Housing & Community Services (Agency 012)

- Increase appropriations and revenue by \$442,770 to recognize additional funding from the California Department of Aging for the following programs: Health Insurance Counseling and Advocacy Program, Congregate and Home Delivered Meals, Case Management, OCTA Nutrition Transportation, Community Services, Legal Assistance, Adult Day Care, In-Home Services and Family Caregiver Support Programs, and Title V Senior Employment Program.
- Transfer \$36,000 from Object 1900 Professional Services to Object 4000 for the purchase of six Active Directory servers to upgrade all networks to Windows 2003.

Department of Child Support Services (Agency 027)

Recognize \$487,872 in revenue received from the State Claim of depreciation for the 1055 Main Street building, and increase reserve by the same amount to offset future cash payments that will exceed the total claimable costs.

Public Administrator/Public Guardian (Agency 029)

Increase appropriations and revenue by \$380,016 to reflect higher than anticipated revenues and expenditures than originally developed in the FY 05-06 budget. The additional funds will cover the cost of annual leave payoffs due to retirements, extra help, services and supplies, and a vehicle for deputies visiting properties of descendents and conservatees. Establish a \$30,000 operating transfer out to Transportation Fund 296 for purchase of the vehicle.

Health Care Agency (Agency 042)

- Increase appropriations (operating transfer out to Agency 042) and revenue by \$7,225,351 in Mental Health Services Act Fund 13Y to reflect actual anticipated activity this fiscal year, and make related adjustments to Agency 042 to recognize the operating transfer in. Fund 13Y was created in the 2nd Quarter Budget Report to properly account for Mental Health Services Act/Prop 63 revenues.
- Transfer two positions (Senior Real Property Agent and Real Property Agent III) from Agency 042 to Resources & Development Management Department (RDMD) Agency 080, and make associated budget adjustments within each budget as outlined in the budget adjustment summary (no increase to appropriations or NCC). RDMD has agreed to provide real estate services for HCA to allow for enhanced coverage and expertise associated with the additional resources that RDMD can provide. The cost of this service will be cost applied to HCA.

Social Services Agency (Agency 063)

- Increase appropriations and revenue by \$5,580,361 to recognize higher than anticipated CalWIN Consortium funding allocation from the State. This funding is predominately associated with the Electronic Data Systems Consortium for design, development and implementation of the CalWORKs Information Network (CalWIN).

- Decrease appropriations and NCC in Agency 066 Aid to Families with Dependent Children-Foster Care by \$1,200,000, and Agency 069 General Relief by \$300,000. Increase appropriations and NCC in Agency 063 Social Services Agency by \$700,000, Agency 064 In-Home Supportive Services by \$300,000, and Agency 065 CalWORKs by \$500,000. These budget adjustments are required to cover anticipated NCC overruns in Agencies 063, 064 and 065. There is no NCC increase associated with this request.

In-Home Supportive Services (Agency 064)

Increase appropriations by \$1,169,549 offset by realignment revenue to cover negotiated increase in hourly rates.

Aid to Families With Dependent Children – Foster Care (Agency 066)

Establish a \$1,400,000 operating transfer out to Fund 12W Social Services Agency Wraparound to fund an increase in caseloads as attempts to place more children in family type settings is made, and to cover the 60% County share requirement.

Aid to Refugees (Agency 067)

Increase appropriations and revenue by \$153,470 to cover unanticipated increase in Refugee Cash Assistance caseloads.

Santa Ana Regional Center Lease Conveyance (Fund 102)

In the First Quarter Budget Report, appropriations and revenues were established for Fund 102. At that time, it was believed that revenues would be deposited directly into Fund 102. After additional discussions with the Auditor-Controller, it was determined that the revenues should be booked to Agency 063 first and then transferred to Fund 102. This will allow the revenue type to be reflected more appropriately. At this time, adjustments are required to reclassify the revenue in Fund 102, establish the revenue in Agency 063, and the applicable operating transfer from Agency 063 to Fund 102.

In addition, at the time Fund 102 was established there was a Fund Balance Available on the County books that was not taken into account; thus, the fund is currently out of balance. A \$502,640 increase to appropriations is requested to bring this fund into balance.

Social Services Agency Wraparound (Fund 12W)

Establish appropriations and revenue of \$12,491,342 for Fund 12W, recently created by the Auditor-Controller to replace Wraparound Trust Fund 248 in compliance with GASB 34 requirements. The appropriation request includes establishment of operating transfers out to Social Services Agency 063 (\$496,051), and Aid to Families with Dependent Children-Foster Care Agency 066 (\$2,639,212).

Community Social Programs (Fund 136)

Close Fund 136 and record any residual balance to Miscellaneous Fund Agency 004, Org 900, Object 1900-36. Closing of this fund is consistent with the 2003 Strategic Financial Plan, which identified the purpose of this fund as non-core.

Facilities Development and Maintenance (Fund 14T)

Increase appropriations by \$200,036 offset by revenue from commission fees received from the South County Regional Lease at 115 Columbia, Aliso Viejo.

Housing & Community Services (Fund 15G)

- Increase operating transfer in from Fund 117 Orange County Housing Authority Reserves by \$730,000. \$500,000 is required for reimbursable services and supplies costs, and \$180,000 is required to cover a projected operating budget shortfall.
- Establish \$250,000 operating transfer in from Fund 15H CalHome Program for reimbursement of CalHome eligible expenditures. Fund 15H was established in August 2005 to separately track repayments of CalHome program loan principal.

Strategic Priority – Affordable Housing (Fund 15U)

Decrease appropriations by \$2,112,333 and increase reserves by \$770,792 to balance Fund 15U. This adjustment is required to properly account for three residual loans that were provided to three affordable housing projects completed in FY 05-06.

Wraparound Trust Fund (Fund 248)

Close Wraparound Trust Fund 248 and transfer any residual balance to Fund 12W Social Services Agency Wraparound. Fund 248 is being closed in compliance with GASB 34 requirements.

In-Home Supportive Services (Fund 590)

Increase operating transfer in from Agency 063 by \$70,000 to cover higher than anticipated expenditures.

Program III – Infrastructure and Environmental Resources

Watershed and Coastal Resources (Agency 034)

- Increase appropriations and NCC by \$100,000 to cover non-reimbursable Serrano Creek project costs. Project costs are related to the stabilization of severely eroding areas of Serrano Creek.
- Increase appropriations and NCC by \$120,000 for the design, CEQA (California Environmental Quality Act) findings, and permitting for construction of a flood wall to prevent the annual flooding of the San Juan Creek Bike Trail. An additional NCC of \$500,000 will be requested in the FY 06-07 budget for the construction of the flood wall.

Resources and Development Management Department (Agency 080)

Delete 24 vacant positions (19 Custodians, 4 Custodian Leadworkers, and 1 Supervising Custodian II) no longer required, and decrease associated appropriations and revenue of \$168,064. These positions provided custodial support for the Central Justice Center. Custodial responsibilities and the position incumbents were transferred to the court effective March 17, 2006.

Dana Point Harbor (Fund 108)

Decrease appropriations by \$62,000 to balance Fund 108. During the First Quarter Budget Report an adjustment was made to Fund 108 to recognize actual fund balance available (FBA). At that time, the processing of a journal voucher to adjust reserves to match outstanding loans receivable was not taken into consideration, resulting in the fund being out of balance. This adjustment is required to balance the fund.

Orange County Public Library (Fund 120)

Increase appropriations by \$528,755 offset by a \$176,712 operating transfer in from Fund 112 and a \$352,043 operating transfer in from Fund 486. The transfers are required to reimburse Fund 120 for capital project costs at the Ladera and El Toro branch libraries.

Integrated Waste Management Bankruptcy Recovery (Fund 285)

Increase appropriations by \$7,950,534 offset by higher than budgeted imported tonnage revenue. A \$6,208,192 operating transfer out to Fund 299 and an equivalent increase to reserves in Fund 299 is requested. The increased revenue will be used for increased expenses associated with the increased tonnage, such as State Board of Equalization excise taxes, city host fees, environmental reserve expense, and closure escrow expense. Operating transfers to Funds 275 (\$193,650), 286 (\$110,414), and 287 (\$121,962) are required for this purpose. \$684,775 will be transferred through the General Fund, Agency 016 2005 Lease Revenue Refunding Bonds to Fund 15Z Recovery Plan of Adjustment Available Cash.

Santiago Canyon Landfill Escrow (Fund 288)

Close Fund 288 and transfer any residual account balance to Fund 299 Integrated Waste Management Enterprise Fund, per authorization from the California Integrated Waste Management Board to disburse the remaining balance of the Santiago Closure Escrow Fund. Santiago Canyon Landfill closure construction is complete; thus, this fund is no longer required.

Integrated Waste Management (Fund 299)

Increase revenue by \$4,298,992 to recognize higher than anticipated imported tonnage, increase reserves by \$3,628,160, and increase appropriations by \$670,832. The increased revenue will also be used for increased expenses associated with the increased tonnage, such as State Board of Equalization excise taxes, environmental reserve expense, and closure escrow expense. Operating transfers to Funds 275 (\$188,967) and 286 (\$217,312) are required for this purpose.

Harbors, Beaches and Parks Capital (Fund 406)

- Increase appropriations and revenue by \$7,000,000, of which \$1,068,000 is for the O'Neill Park Sewer Conversion Capital Project. \$7,000,000 was allocated to Harbors, Beaches and Parks for capital projects in the First Quarter Budget Report. The \$7,000,000 was transferred from the General Fund to Fund 406 and now needs to be appropriated.
- Transfer \$250,000 from Fund 405 Harbors, Beaches and Parks for establishment of a youth camping facility at Mile Square Park.

Program IV – General Government Services

County Executive Office (Agency 017)

Approve an increase in the cost of a fixed asset previously approved in the FY 05-06 budget (line item #3 Network Equipment of the Equipment Detail report in the Auditor-Controller's line item budget book). The cost of the equipment, an electronic whiteboard and projection system, is budgeted at \$12,000. The estimated cost is now \$21,000. The additional cost will be absorbed within the existing budget.

Registrar of Voters (Agency 031)

- Increase appropriations by \$429,348 offset by revenue from State Assembly Bill 783 to cover the cost of the 48th Congressional District General Election conducted on December 6, 2005.
- Approve an increase in the cost of a fixed asset previously approved in the FY 05-06 budget (line item #28 of the Equipment Detail report in the Auditor-Controller's line item budget book). The cost of the Election Information Management System SQL server is budgeted at \$32,000. The actual cost will be \$38,790. The additional cost will be absorbed within the existing budget.
- Increase appropriations by \$1,037,016 offset by Help America Vote Act revenues for development of a Statewide Voter Registration Database.

Clerk-Recorder (Agency 059)

Increase appropriations by \$268,315. In the First Quarter Budget Report, Clerk-Recorder budgeted an operating transfer in from Fund 12D Clerk-Recorder's Special Revenue Fund; however, a corresponding increase to appropriations was not made at that time resulting in the General Fund being out of balance. This adjustment is required to balance the fund.

Treasurer-Tax Collector (Agency 074)

Increase appropriations by \$251,013, reduce revenue by \$1,441,754 and increase NCC by \$1,692,767 to cover higher than anticipated data processing services, as well as, rebate of \$1.3 million to be paid to investment pool participants. As the balance of the Commingled Investment Pool has increased, the revenue generated from the Administrative Fee has continued to significantly exceed the Treasury-related expenses, requiring payment of rebates.

Remittance Processing Equipment Replacement (Fund 107)

Cancel a \$194,702 increase to reserves, and decrease revenue by \$194,702. Sufficient appropriations do not exist in Agency 074 this year to process the \$200,000 operating transfer in to Fund 107. This request is required to prevent a negative Fund Balance at year-end.

Program V – Capital Improvements

Capital Projects (Agency 036)

Approve an additional \$270,000 for a previously approved capital project, Building 12 Basement Backfill. The \$270,000 will be absorbed within the existing Capital Project (\$170,000), Auditor-Controller (\$50,000), and Clerk-Recorder (\$50,000) budgets. This project is budgeted in the FY 05-06 budget; however, the scope of the project has been expanded to include floor removal, asbestos abatement, and carpet replacement. This part of the project was included in the FY 07-08 Capital Improvement Plan but is requested now to avoid the disassembly and re-assembly of office furniture that will be moved to the new office spaces.

Program VI – Debt Service

Debt Prepayment Fund (Fund 14V)

Close Fund 14V and transfer any residual balance to the General Fund. The County completed a \$116 million debt refinancing in August 2005 utilizing funds reserved in Fund 14V. Now that all transactions related to the refinancing are completed, the fund is no longer needed.

Refunding Recovery Bonds (Fund 15P)

Close Fund 15P effective May 31, 2006. The County refunded and defeased the Refunding Recovery Bonds in August 2005. At that time, Agency 021 was established to account for the 2005 Refunding Recovery Bonds outstanding; thus, Fund 15P is no longer needed. Prior to closure of Fund 15P, decrease the operating transfer out to Fund 15J by \$23,835; increase the operating transfer out to Agency 021 by \$23,835; cancel the \$2,021,147 fund balance reserve for debt service; and direct any remaining funds to Agency 021.

Community Facility District 99-1 Series A Ladera Debt Service (Fund 479)

Increase appropriations and revenue by \$23,146,675 to properly book the refunding of bonds. The refunding was done to take advantage of lower interest rates, and to allow for lower taxes for the property owners in the district.

Community Facility District 01-1 Ladera Debt Service (Fund 533)

Increase appropriations and revenue by \$33,347,127 to properly book the refunding of bonds. The refunding was done to take advantage of lower interest rates, and to allow for lower taxes for the property owners in the district.

Community Facility District 00-1 Series A of 2000 Ladera Debt Service (Fund 547)

Increase appropriations and revenue by \$29,635,477 to properly book the refunding of bonds. The refunding was done to take advantage of lower interest rates, and to allow for lower taxes for the property owners in the district.

Program VII – Insurance, Reserves and Miscellaneous

Miscellaneous Fund (Agency 004)

Increase appropriations by \$16,808,267 offset by revenue from retirement billings to departments, and decrease the operating transfer out to Fund 15L 800 MHz Countywide Coordinated Communication System by \$2,100,000. Additional appropriations are necessary to offset year-end payments to OCERS (Orange County Employees Retirement System) and unanticipated payment of attorney's fees and expenses associated with the William B. Bunker vs. County of Orange pending litigation.

Information Technology Internal Service Fund (Fund 289)

Effective May 9, 2006 through June 31, 2007, approve the new billable rate of up to \$125 per hour for Senior Software Enterprise Architect staffing. This high level technical support will be provided to customer agencies at their request to support large application development projects. As required of Internal Service Funds, this rate will cover all staffing costs.

Transportation Internal Service Fund (Fund 296)

- Increase appropriations by \$148,007 offset by a decrease to reserves for the purchase of six replacement vehicles. The following vehicles will be purchased to replace vehicles with high mileage and full depreciation: one Cargo Van Class G for Child Support Services; one Sedan Class B and one Mid Size Sedan Class E for Sheriff Communications; one Sedan 4-door Class B, one Sedan 4-door Class BB, and one 8-Passenger Van Class C for Sheriff.
- Increase appropriations by \$600,000 offset by revenue from customer agencies to cover higher than budgeted fuel costs.

QUARTERLY GRANT SURVEY
3rd Quarter - FY 2005/06 (January 1 - March 31)

Grant Activities During the 3rd Quarter

Department/Agency	Number of Grants				Awarded Amount			Pending Award Amount	Denied Amount	Eligible Amount
	Awarded	Pending	Denied	Total	Received prior to 05/06		Received in 05/06			
					05/06	Pending Receipt				
Program I - Public Protection										
District Attorney (026)	2	2	1	5	\$0	\$1,081,881	\$0	\$311,180	\$90,000	\$1,483,061
Probation (057)*	1	1	0	2	\$2,481,785	\$3,457,752	\$2,505,233	\$250,000	\$0	\$8,694,770
Public Defender (058)	0	6	0	6	\$0	\$0	\$0	\$479,736	\$0	\$479,736
Sheriff-Coroner (060)	16	9	1	26	\$2,311,339	\$518,238	\$10,920,749	\$6,757,457	\$95,000	\$20,602,783
Program II - Community Services										
Health Care Agency (042)*	2	2	0	4	\$0	\$412,059	\$1,670,269	\$501,319	\$0	\$2,583,647
Social Services Agency (063)*	11	0	0	11	\$0	\$353,359	\$1,744,610	\$0	\$0	\$2,097,969
Housing & Community Services (15G &012)*	3	3	2	8	\$0	\$272,500	\$40,000	\$855,000	\$544,422	\$1,711,922
Program III - Infrastructure & Environmental Resources										
Dana Point Harbor (108)	1	0	0	1	\$0	\$0	\$2,541,000	\$0	\$0	\$2,541,000
Orange County Public Library (120)	5	6	0	11	\$0	\$129,675	\$11,250	\$469,084	\$0	\$610,009
Resources & Development Management Dept (034, 296,115, 405)*	9	7	0	16	\$20,312,744	\$1,460,037	\$55,050,907	\$61,638,000	\$0	\$138,461,688
John Wayne Airport (280)*	5	0	0	5	\$0	\$1,753,823	\$148,128	\$0	\$0	\$1,901,951
Program IV - General Government										
County Executive Office (017)	1	2	0	3	\$0	\$25,000	\$0	\$450,000	\$0	\$475,000
Registrar of Voters (031)	1	3	0	4	\$0	\$340,734	\$0	\$14,438,952	\$0	\$14,779,686
TOTAL	57	41	4	102	\$25,105,868	\$9,805,058	\$74,632,146	\$86,150,728	\$729,422	\$196,423,222

* Represents departments/agencies with grants awarded over multiple years, therefore, dollar amounts may include multi-year allocations.

QUARTERLY GRANT SURVEY

3rd Quarter - FY 2005/06 (January 1 - March 31)

PROGRAM I - PUBLIC PROTECTION

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

DISTRICT ATTORNEY (Agency 026)

Kim Dinh 347-8435

1.0 FTE

\$71,670

Department utilizes the eCivis software program.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Project Safe Neighborhoods	Office of Emergency Services	Prosecute firearms cases	Annual	\$ 182,880	-	\$ 182,880	Pending Notification.
2 Vertical Prosecution Block Grant	Office of Emergency Services	Prosecute statutory rape, child abuse, major narcotics and career criminal cases	Annual	\$ 390,250	390,250.00	\$ -	Awarded.
3 DUI Vertical Prosecution Grant	Office of Traffic Services	Prosecute DUI cases & conduct outreach	2-Year & 3 months grant (Starting FY 05-06)	\$ 691,631	691,631.00	\$ -	Awarded.
4 Orange County Methamphetamine Lab Investigation	State Office of Homeland Security (OHS)/OES (thru Sheriff Dept)	Prosecute Methamphetamine Manufacturers	Annual	\$ 128,300	-	\$ 128,300	Pending notification of approval.
5 Violence Against Women Vertical Prosecution Program (VAW-VP)	Office of Emergency Services	Prosecute VAW-VP cases	6 Months	\$ 90,000	-	\$ -	Denied.
Total D.A.:				\$ 1,483,061	\$ 1,081,881	\$ 311,180	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

PROBATION (Agency 057)

Lala Oca Ragen 937-4750

To be determined; function is pending development

To be determined; function is pending development

eCivis; websites of Probation resources such as State and Federal law enforcement agencies; contacts and sources from other Counties.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Juvenile Hall Youth Leadership Academy Grant Construction Contract No. 119-68	Corrections Standards Authority (CSA), formerly known as Board of Corrections (BOC)	Addition of 120 beds, elimination of 60 dilapidated beds, addition of six classrooms and ancillary space needed to accommodate the expansion of the Juvenile Hall site.	1-time	\$8,444,770	848,565.00	\$2,505,233	Grant was awarded in May 2004. County received \$2,481,785 in FY 04-05; \$1,697,128 received in 1st quarter of FY 05-06; \$912,059 received on 11/7/05; \$848,565 on 2/17/06. Final grant claim is due to CSA on 6/15/06.
2 Comprehensive Approaches to Sex Offender Management (CASOM)	Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice	Assessing current system of resources, policies and practices for the management of juvenile sex offenders; providing assistance to victims; identifying gaps; developing a comprehensive strategy for management of these cases.	Annual Funding	\$250,000	-	\$250,000	Competitive grant announcement for FY 2006 was released on 11/17/05, with applications due 12/29/05. In order to be able to compete for this significant funding potential, Probation prepared the application to meet the 12/29/05 submittal deadline, and sought Board ratification of the grant application submittal on 3/7/06, which was granted. Notification of grant award has not been released.
Total PROBATION:				\$ 8,694,770	\$ 848,565	\$ 2,755,233	

Department/Agency:

PUBLIC DEFENDER (Agency 058)

Contact Name & Phone Number:

Becky Juliano 834-3182

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

The department uses the eCivis website and also uses state, federal and private sights to seek grant opportunities.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Treatment of Homeless	Federal - US Departmnet of Health & Human Services - Substance Abuse & Mental Health Services Administration (SAMHSA)	Assist clients with program and resource coordination and monitoring through the Orange County Homeless Court.	Annual	\$ 60,536	-	\$ 60,536	Application in process through HCA.
2 Mental Health Services	State or Private Funding	A Benefits Coordinator in the Specialty Courts to assist clients in access to entitlement benefits such as SSI, SSDI, Section 8 housing, and other appropriate program resources and income benefits to assist them in successful treatment and rehabilitation.	Annual	\$ 83,840	-	\$ -	Looking for applicable grant.
3 Mental Health Services	State or Private Funding	A Benefits Coordinator in Juvenile Courts to assist juveniles and their families in seeking access to placement, housing support resources, and income benefits.	Annual	\$ 83,840	-	\$ -	Looking for applicable grant.
4 Mental Health Services	State or Private Funding	Coordinate staff located at the new Community Courts Building to assist clients on a daily basis in program and resources coordination and monitoring.	Annual	\$ 83,840		\$ -	Looking for applicable grant.
5 Truancy Court Grant	Juvenile Justice Crime Prevention Act (JJCPA)	To enhance the ability of families to work together to improve students attendance in school through testing, intervention, education, training, and monitoring.	Annual	\$ 83,840	-	\$ -	JJCC denied original proposal. Department looking for another applicable grant.
6 Juvenile Placement Services	Juvenile Justice Crime Prevention Act (JJCPA)	To ensure the placement of juvenile offenders is best suited to meet the specific needs of the minor for successfully rehabilitation pursuant to Calif. Rule of Court 1479.	Annual	\$ 83,840	-	\$ -	JJCC denied original proposal. Department looking for another applicable grant.
Total PUBLIC DEFENDER:				\$ 479,736	\$ -	\$ 60,536	

SHERIFF-CORONER Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
17 Edward Byrne Memorial Justice Assistance Grant	Bureau of Justice Assistance (BJA)/DOJ	R & D: Used countywide for local initiatives, technical assist, training, personnel, equip, supplies and the Meth Task force within the	Annual	437,051	-	437,051	Approved, not yet accepted
18 Bullet Proof Vest Program	Office of Justice Programs	R&D: Funds a portion of each bullet proof vest purchased	Annual	98,000	-	98,000	Pending
19 Edward Byrne Memorial Justice Assistance-2006-07	DOJ/OES	R&D: Used for the Countywide Methamphetamine Task Force	Annual	508,863		508,863	Application in Process
20 2005 State Homeland Security Grant (SHSG)	Federal Dept of Homeland Security	Emergency Operations Center (EOC): Data programs, upgrading 800 MHz radios and purchase of Coplink program, a comprehensive Law Enforcement (LE) database	Annual	5,266,673	-	5,266,673	Approved by the Board on 1/24/06
21 2005 HSGP - Law Enforcement Terrorism Prevention Plan (LETPP)	Federal Dept of Homeland Security	EOC: Purchase new terrorism response vehicles; terrorism training	Annual	1,528,844	-	1,528,844	Approved by the Board on 1/24/06
22 2005 SHSG - Emergency Management Performance Grant (EMPG)	Cal Office of Emergency Svcs. (OES)	EOC: Fund County Emergency Ops. Centers and Personnel	Annual	364,366	-	364,366	Approved by the Board on 1/24/06
23 2004 HSGP - Citizen Corps Program	OES	EOC: Fund volunteers in support of LE and Emergency Management	Annual	127,387	-	127,387	Approved
24 2005 Buffer Zone Protection Plan (BZPP)	Federal Dept. of Homeland Security	EOC: Fund security equipment for 23 predetermined sites in Orange County	Annual	1,085,810	-	1,085,810	Approved by the Board on 1/28/06
25 2006 Emergency Management Performance Grant (EMPG)	Office of Emergency Services (OES)	EOC: Fund County Emergency Ops. Centers and Personnel	Annual	394,370	-	394,370	Pending OES Application Approval Notification expected 5/1/06
26 DOJ/OJP-Solving Cold Cases with DNA	National Institute of Justice	Investigations: Forensics and Homicide Div: Overtime on Cold Cases	Annual	352,480	17,426	335,054	Approved.
Total SHERIFF-CORONER:				\$ 20,602,783	\$ 299,346	\$ 17,678,206	

Department/Agency:
Contact Name & Phone Number:
Number of FTE's responsible for grant writing:
Annual staff and any services & supplies costs related to the grant function:
Specify any special services/tools/resources used to track available grants:
HEALTH CARE AGENCY (Agency 042)

Janet Holcomb 834-3158

Varies - HCA does not have staff solely assigned to grant writing

Varies - HCA does not have staff solely assigned to a grant function

eCivis software, through County price agreement

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Ryan White CARE Act, Title II	State Dept of Health Services	Outpatient medical care services to persons infected with HIV	4/1/05 - 3/31/07	\$ 1,729,828	\$ 59,289	\$1,317,769	Approved - \$114,587 received first quarter; \$238,183 received 2nd quarter
2 State Incentive Grant	State Department of Alcohol and Drug Programs	Campus - Community Alcohol Management Project	Annual	\$ 352,500	\$ -	\$ 352,500	Approved by the Board of Supervisors on November 1, 2005
3 Nurse-Family Partnership	Children and Families Commission of Orange County (Prop 10)	Case management and in-home visitation to pregnant/parenting women and their families	Annual	\$ 323,405	\$ -	\$ 323,405	Pending approval from Prop 10 Commission
4 Perinatal Substance Abuse Services Initiative	Children and Families Commission of Orange County (Prop 10)	In-home visitation targeting at-risk pregnant women with histories of substance abuse and/or HIV infection	Annual	\$ 177,914	\$ -	\$ 177,914	Pending approval from Prop 10 Commission
Total HCA:				\$ 2,583,647	\$ 59,289	\$ 2,171,588	

Department/Agency:**Contact Name & Phone Number:****Number of FTE's responsible for grant writing:****Annual staff and any services & supplies costs related to the grant function:****Specify any special services/tools/resources used to track available grants:****SOCIAL SERVICES AGENCY (Agency 063)**

Randi Dunlap 541-7704

1.98 FTE

S&EB \$132,204/Travel expenses \$6,000

Agency uses standard business software: MS Word. Some service providers use MS Access software

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	Current Status (Approved/ Denied/Pending Notification/Application in Process)
1 Child Abuse Treatment Program (CHAT)	State of California Office of Emergency Services (OES)	For comprehensive treatment services for child victims of abuse and neglect.	Three-year - Funded annually	\$ 600,000	-	\$ 600,000	Approved for 10/1/05 to 9/30/08.
2 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	\$ 150,000	-	\$ 150,000	Approved for 10/1/05 to 9/30/08.

SOCIAL SERVICES AGENCY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
3 Child Abuse Treatment Program (CHAT)	Family Preservation Family Support	For comprehensive treatment services for child victims of abuse and neglect.	Three -Year funded annually	\$ 187,000	-	\$ 187,000	Approved for 10/1/05 to 9/30/08.
4 Family to Family Planning	Stuart Foundation	To support implementation of the Family to Family Initiative.	Three-year beginning 2003 to 2007	\$ 300,000	-	\$ 100,000	Grant is extended for a 4th year to allow spending the remaining. \$200,000 received in 2nd Qtr
5 Refugee Employment Social Services and Set Aside	California Dept of Health and Human Services	Refugee Services	Annual	\$ 302,571	\$ -	\$ 299,571	Approved 10/01/04 - 09/30/06, \$3,000 received in 2nd Qtr
6 Refugee Employment Social Services Discretionary	California Dept of Health and Human Services	Refugee Marriage and Pre-Marriage Education	Annual	\$ 200,000	\$ 74,675	\$ 70,993	Approved 09/30/03 - 09/29/06, \$54,332 received in 2nd Qtr
7 Targeted Assistance Discretionary Grant to the Cambodian Family for Building the Future	California Dept of Health and Human Services	Child Care Provider Training for Refugee Women and Men	Annual	\$ 239,836	-	\$ 218,484	Approved 09/30/03 - 09/29/06; \$21,352 received in 2nd Qtr
8 2004 Refugee Employment Social Services Rollover	California Dept of Health and Human Services	Refugee Services	Annual	\$ 87,431	-	\$ 87,431	Approved 10/01/04 - 09/30/06
9 2004 Refugee Employment Social Services augmentation	California Dept of Health and Human Services	Refugee Services	Annual	\$ 14,437	-	\$ 14,437	Approved 10/01/04 - 09/30/06
10 2004 Targeted Assistance Augmentation	California Dept of Health and Human Services	Refugee Services	Annual	\$ 5,869	-	\$ 5,869	Approved 10/01/04 - 09/30/06
11 2005 Targeted Assistance Discretionary Grant Older Refugee Services	California Dept of Health and Human Services	Older Refugee Services	Annual	\$ 10,825	-	\$ 10,825	Approved 10/01/05 - 09/30/06
Total SSA:				\$ 2,097,969	\$ 74,675	\$ 1,744,610	

Department/Agency:**Contact Name & Phone Number:****Number of FTE's responsible for grant writing:****Annual staff and any services & supplies costs related to the grant function:****Specify any special services/tools/resources used to track available grants:****HOUSING & COMMUNITY SERVICES (Funds 15G & 012)**

Connie Chang 480-2990

Approx. 2.0 FTE

\$136,041 for S&EB

Community Services: Resource publications & notices from U.S. Department of Labor; CA Employment Development Department; local, state and federal partner agencies, Orange County Housing Authority: Annual Family Self Sufficiency (FSS) and other performance reports

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Hurricane Katrina Funds for Transition Services	U.S. Dept. of Labor (via State of California) WIA 15% Discretionary Funds	Funds may be used for employment and training services provided to victims of Hurricane Katrina.	1-time	\$ 122,500	\$ 122,500	\$ -	Received verbal confirmation of funding; pending written notification of funding award and fund transfer. Original eligible amount - \$100,000

HOUSING & COMMUNITY SERVICES Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
2 Workforce Innovation in Regional Economic Development (WIRED) - (Inland Empire Economic Partnership/California Space Authority are lead agencies)	U.S. Dept. of Labor (via State of California)	Funds may be used to expand employment and advancement opportunities for American workers and catalyze the creation of high-skill and high-wage opportunities using a regional approach.	Three-Year Funding - \$ Total funding is \$5,000,000 with Orange County's portion \$120,000	\$ 120,000	\$ 120,000		Received written notification of funding award. Orange County was one of several partners.
3 Regional and Local Incentive Awards	State of California, Employment Development Department	Awards were given for existing or planned project that have an emphasis on regional collaborations.	1-time	\$ 70,000	\$ 30,000		Received written notification of funding award.
4 Recently Separated Veterans Grant	State of California, Employment Development Department	Funds may be used to provide employment and training services to recently separated veterans.	Two-Year Funding	\$ 400,000	-	\$ 400,000	Application was due on March 6, 2006 - Pending notification.
5 Domestic Violence Transitional Housing Assistance Program	U.S. Dept. of Justice, Office of Violence Against Women	Funds may be used for domestic violence victim-centered transitional housing services that move individuals to permanent housing.	Three-Year Funding	\$ 350,000	-	\$ 350,000	Application was due on February 16, 2006 - Pending notification.
6 Limited English Proficient and Hispanic Workers Initiative (City of Anaheim is lead agency)	U.S. Dept. of Labor (via State of California)	Provide employment and training to Limited English Proficient & basic skills deficient Hispanic workers	1-time - Total Funding is \$500,000 with Orange County's portion of \$105,000	\$ 105,000	-	\$ 105,000	Application was due on October 1, 2005 - Pending notification. (County share of grant would be \$105,000)
7 Workforce Investment Act (WIA) Funds - Solicitation for Proposals (Joint Application with Probation Department)	U.S. Dept. of Labor (via State of California); WIA 15% Discretionary Funds	Employment and training services to probationers with drug addiction and other barriers	1-time	\$ 500,000	-	\$ -	Application not funded.
8 Regional Skills Alliance for the Bioscience Industry (Saddleback Community College District is lead agency)	U.S. Dept. of Labor, Community Based Job Grant	Promotion of employment opportunities in Biotechnology sector through Business Service Centers and One-Stop Centers in partnership with education entities and other Workforce Investment Boards. Focus is on medical device manufacturing.	Two Year Funding: First Year: \$981,201; Second Year: \$1,007,488	\$ 44,422	-	\$ -	Application not funded.
Total H&CS:				\$ 1,711,922	\$ 272,500	\$ 855,000	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

DANA POINT HARBOR DEPARTMENT (Fund 108)

Louis McClure (949) 923-2205

No specific staff assigned to grant writing

To be determined

eCivis, contacts from other Counties and State agencies

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Dana Point Harbor Department Launch Ramp	CA Department of Boating and Waterways	Dana Point Harbor Launch Ramp Renovation	1-time	\$ 2,541,000	\$0	\$ 2,541,000	Approved; Construction to begin Fall 2006
Total DANA POINT HARBOR:				\$ 2,541,000	\$ -	\$ 2,541,000	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

ORANGE COUNTY PUBLIC LIBRARY (Fund 120)

Steve Siemion 566-3027

0 (assigned to various affected functions)

\$2,218.80

California State Library (<http://www.library.ca.gov/html/grants.cfm>), CALIX (library professionals' listserv), participation in literacy programs such as ProLiteracy or CALit, CA Department of Education listserv (automated e-mail distributions), Foundations and Grants Websites listings.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Bill and Melinda Gates Foundation - Public Access Computer Hardware Upgrade Program	Bill and Melinda Gates Foundation	Computer replacement	One-time	33,000.00	\$ 33,000	0.00	Approved

Department/Agency:**SHERIFF-CORONER (Agency 060)****Contact Name & Phone Number:**

A.J. Coco 935-6937

Number of FTE's responsible for grant writing:

2.3 FTE

Annual staff and any services & supplies costs related to the grant function:

\$295,900 (\$133,547 of this is revenue offset by EOC grant funds specifically dedicated to grant administration)

Specify any special services/tools/resources used to track available grants:

Department uses County subscription to eCivis grants locating service; Justice Planning Management Assoc. (JPMA) service; internet grants web pages; National Institute of Justice (NIJ) and Federal Grants automatic notification systems. Because many of the specialized grants are specific to Forensic Science, these grants are often announced at annual professional meetings by the grantors.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 2004 Coverdell Block Grant (Training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-Time	\$ 69,953	\$ 52,044	\$ 3,498	Approved; \$14,411 received in 1st Qtr
2 Portable Evidential Breath Testing (PEBT) - Upgrade	CA Office of Traffic Safety	Forensics: Upgrade the use of PEBT's for DUI Enforcement	1-Time	345,000	3,129	341,871	Approved
3 2004 Coverdell Forensic Science Improvement Grant	National Institute of Justice / CA Office of Emergency Services	Forensics: Caseload capacity enhancement	1-Time	80,233	10,754	18,181	Approved; \$51,298 received in 1st Qtr
4 DNA Backlog Reduction Grant	National Institute of Justice	Forensics: DNA Backlog Reduction	1-time	173,440	28,188	101,714	Approved; \$7,645 received in 1st Qtr; \$35,893 in 2nd Qtr
5 2004 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	226,674	101,887	83,698	Approved; \$15, 274 received 1st Qtr; \$25,815 in 2nd Qtr
6 DNA Expansion Program (Inter Agency Agreement)	National Institute of Justice	Forensics: DNA Caseload Expansion to Property Crimes	1-time	495,505	-	495,505	Approved. Pending Board approval to accept funds.
7 2005 Coverdell Block Grant (training)	National Institute of Justice / CA Office of Emergency Services	Forensics: Training in Forensic Science	1-time	93,639	-	93,639	Application in process.
8 2005 DNA Capacity Enhancement Grant	National Institute of Justice	Forensics: DNA Caseload Capacity Enhancement	1-time	164,395	-	164,395	Approved
9 2005 Coverdell Forensic Science Improvement Grant	National Institute of Justice / CA Office of Emergency Services	Forensics: Caseload capacity enhancement	1-Time	95,000	-	0	Award not granted.
10 Comprehensive Inhalant and Volatile Analytical Method Grant	State Office of Traffic Safety	Forensics: Allow Sheriff Forensic Division to more completely evaluate routine casework	Annual	261,621	-	261,621	Application in Process
11 Avoid South County Regional DUI Task Force	Office of Traffic Safety (OTS)	South Ops: DUI Enforcement and Educational Programs	2-Year grant (10/1/04-12/31/06)	540,570	64,391	331,882	Approved; \$68,556 in 2nd Qtr, \$75,741 received prior to 1st Qtr
12 Avoid South County Regional DUI Task Force	Office of Traffic Safety (OTS)	South Ops: DUI Enforcement and Educational Programs	2 1/2 year grant (1/1/07 - 6/30/09)	964,396	-	964,396	Pending Notification
13 UASI (Urban Areas Security Initiative)	UASI/Homeland Security	Communications: Upgrade of the 800 MHz microwave system	Annual	3,500,000	-	3,500,000	Pending consideration in June
14 COPS Interoperable Communications Technology	U.S. Dept. of Justice	Communications: 800 MHz Interoperability Systems	1-time	2,257,125	21,527	0	Approved, *\$2,235,598 received prior to Q2 but not reported in Q1 survey.
15 Edward Byrne Memorial Justice Assistance	DOJ/OES	R&D: Used for the Countywide Methamphetamine Task Force	Annual	730,325	-	730,325	Approved
16 Training Grant to Stop Sexual Assault Against Individuals or Individuals with Disabilities	Office On Violence Against Women-OJP	R&D: Elder abuse training for patrol officers, investigators, prosecutors and judges throughout CA	Unknown	441,063	-	441,063	Pending

PUBLIC LIBRARY Cont'd

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-Time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
2 California Library Literacy and English Acquisition Services Grant 2005-06	California State Library	To provide literacy tutoring and resources for enhanced English skills	Annual	90,930.00	-	90,930.00	Pending Notification
3 Community Collaboration Grant	Corporation for Public Broadcasting and Institute of Museum & Library Services	To digitize special collections	One-time	200,000.00	-	200,000.00	Application in Process
4 English Literacy and Civics Education Grant 2004-05	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	25,000.00	3,750.00	0.00	Approved;\$18,750 received in 1st Qtr; \$2,500 in 2nd Qtr
5 English Literacy and Civics Education Grant 2005-06	Education (federal, passed thru Calif. Dept. of Education)	To provide literacy tutoring and resources for enhanced English skills	Annual	22,500.00	11,250.00	11,250.00	Approved
6 LSTA (Library Services and Technology Act) Small Business Services Program II 2004-05	Office Of Library Services (federal, passed through State Library)	To provide research resources for community small businesses	One-time	58,925.00	2,000.00	0.00	Approved; \$56,925 received in 1st Qtr
7 LSTA (Library Services and Technology Act) Staff Education Program Fiscal Year 2006/07	Office Of Library Services (federal, passed through State Library)	To provide tuition reimbursement for library science college classes taken by eligible OCPL employees	Annual	22,704.00	\$ -	22,704.00	Application in Process
8 LSTA (Library Services & Technology Act)	Office Of Library Services (federal, passed through State Library)	Computer Equipment, Scanners, extra help staff salary	One-time	150,000.00	-	150,000.00	Application in Process
9 Target Community Giving Program Grant	Target Stores	Family Literacy day to promote reading and family literacy	One-time	1,500.00	\$ 1,500	0.00	Approved
10 Target Community Giving Program Grant	Target Stores	Materials to be checked out by parents of toddlers	One-time	5,450.00	\$ -	5,450.00	Application in Process
11 We the People Bookshelf	National Endowment for the Humanities	Books received from this grant are thematically linked to the grant's "Becoming American" theme. The La Habra library will display materials received and present activities emphasizing the historical role of immigrant citizens in the La Habra community.	One-time	In-kind grant (books)	\$ -	Not yet received	Application in Process
Total LIBRARY:				\$ 610,009	\$ 51,500	\$ 480,334	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT (Agency 034, 296, 115)

Greg Lepore 667-8357

3.3 FTE

\$225,202.00

eCivis Grants Locator, Grants.Gov Website, Catalog for Domestic Assistance (CFDA), Private Foundations, State web site - www.oes.ca.gov (Hazard Mitigation)

RDMD/WATERSHED AND COASTAL RESOURCES (Agency 034)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Proposition 50, Chapter 8	CA State Water Board/Dept of Water Resources	Protect communities from drought/improve water quality	2 funding cycle (FY 06-07-FY07-08)	50,000,000	-	50,000,000	Received invitation to submit Step 2 application which will be due in May 2006
2 Fisheries Restoration	CA Dept. of Fish and Game	Watershed aquatic protection	Annual	50,000	-	50,000	Received notification of award on 3/1/06
3 Integrated Regional Water Management Grant Program	CA Dept of Water Resources	Design and build treatment wetlands system	1-time	588,000	-	588,000	Pending notification in December.
4 Proposition 40 Phase II - Clean Beaches Initiative	State Water Resources Control Board	Poche Beach UV Bacteria Disinfection System	1-time	21,000,000	-	1,000,000	Pending final approval of remaining \$1M. (\$20M received from FY 01-02 thru FY 03-04)
5 Baby Beach Circulation Project	State Water Resources Control Board - Clean Beaches Initiative Grant Program	Mechanical circulation of Dana Point Harbor – Baby I	1-time	1,000,000	\$ -	1,000,000	Award notification in December 2005; grant agreement presently being negotiated

RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT Cont'd

RDMD/WATERSHED AND COASTAL RESOURCES (Agency 034)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
6 Proposition 13-Coastal Nonpoint Source Pollution Program	State Water Resources Control Board	Design & construct water quality treatment system	1-time	1,303,803	\$ 78,000	1,225,803	Approved, project underway
Subtotal:				\$ 73,941,803	\$ 78,000	\$ 53,863,803	

RDMD/INTERNAL SERVICES TRANSPORTATION (Fund 296)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 MSRC (Mobile Source Air Reduction Pollution Committee) Matching Grant	SCAQMD (South Coast Air Quality Management District)	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	\$ 350,000	\$ -	\$350,000	Approved. Claims will begin when construction begins Summer 2006
2 Department of Energy (DOE) Fed SEP Grant	Department of Energy (DOE)	Construction of a Compressed Natural Gas (CNG)	1-time	150,000	-	150,000	Approved. Claims will begin when construction begins Summer 2006
3 Unsolicited SCAQMD Infrastructure Grant	SCAQMD	Construction of a Compressed Natural Gas (CNG) Refueling Facility	1-time	\$ 500,000	-	\$500,000	Pending Notification.
Subtotal:				\$ 1,000,000	\$ -	\$ 1,000,000	

RDMD/Road Division (Fund 115)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Measure M - Local Sales Tax: (Combined Transportation Funding Program)	OCTA/Local Sales Tax	Roads, Bridges, Traffic Signals, etc.	Biannual	\$26,411,725	\$91,463.16	\$26,295,512	65 allocations over twelve fiscal years - starting FY 99-00. A total of \$24,750 of the active Measure M grants has been received prior to this Quarter.
2 TEA-21 & Safe Accountable Flexible Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Federal grants: (Seismic Retrofit, HBRR, RSTP, AHRP [pavement rehab.], & HES)	CalTrans/Federal Highway Administration (FHWA)	Roads, Bridges, Pavement, Bikeways	Annual	\$21,949,975	\$0	\$21,661,980	14 allocations over eleven fiscal years - starting FY 00-01. A total of \$287,994.21 of the active Federal grants has been received prior to this Quarter.
3 State grants: (State Match, Safe Routes to School (SR2S))	CalTrans/State	Sidewalks, Bikeways, Curb & Gutter, Drainage	Annual (+/-)	\$296,920	\$0	\$296,920	4 allocations over two fiscal years, beginning FY04-05.
Grant Applications: (Transportation Enhancement Activities (TEA))	OCTA/CalTrans	Transportation	Annual	\$1,811,265	\$0	\$1,811,265	8 applications for two fiscal years (FY07-08 & FY08-09).
Subtotal:				\$ 50,469,885	\$ 91,463	\$ 50,065,677	

RDMD/Harbors, Beaches & Parks (Fund 405)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Hazard Mitigation Grant Program for DR-1577 and DR-1585	Federal Emergency Management Agency (FEMA)	Construction of East Garden Grove Wintersburg Channel (C05) from 2600' d/s Graham St to u/s Graham St	1-time after constructed	\$7,500,000		\$7,500,000	Pending Notification
2 Hazard Mitigation Grant Program for DR-1577 and DR-1585	FEMA	Construction of Fullerton Creek Channel (A03) from Knott Ave to Western Ave	1-time after constructed	\$3,050,000		\$3,050,000	Pending Notification
Subtotal:				\$ 10,550,000	\$ -	\$ 10,550,000	

RDMD/Harbors, Beaches & Parks (Fund 405)

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 California River Parkways Program (Water Security, Clean Drinking Water, Coastal and Beach Protection Act of 2002 - Proposition 50)	State of California Resources Agency	Design and construct portions of the Santa Ana River Trail within Orange, Riverside and San Bernardino Counties	1 - Time	\$2,500,000	\$ -	\$2,500,000	Pending - Submitted Oct 18, 2005. Total grant for the 3 County partnership is \$9.2 million.
Subtotal:				\$ 2,500,000	\$ -	\$ 2,500,000	
Total RDMD:				\$ 138,461,688	\$ 169,463	\$ 117,979,480	

Department/Agency:**Contact Name & Phone Number:****Number of FTE's responsible for grant writing:****Annual staff and any services & supplies costs related to the grant function:****Specify any special services/tools/resources used to track available grants:****JOHN WAYNE AIRPORT (Fund 280)**

Norman Wohkittel (949) 252-5191

5% of an A&E Project Manager for grant administration; 20% of a Senior Accountant/Auditor I position for grant claims

\$27,776

The Federal Airport Improvement Program (AIP) manual is the primary directive for applying for grants available from the Federal Aviation Administration (FAA), for reimbursement of costs associated with certain eligible airport improvement (capital project) expenditures. The Federal Register is the authoritative guide for applying for grants available from the Transportation Security Administration (TSA) for reimbursement of certain eligible security-related expenditures.

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual, etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 AIP Grant #32	Federal Aviation Admin. (FAA)	Construct Airport Fire Station #33 and Reconstruct Landing Surface (runway) 19R/1L	Open	\$ 955,892	\$ 189,786	\$ 22,804	Remaining available funds for future claims is \$3,627,397. \$725,917 received in 1st Qtr, \$17,385 received in 2nd Qtr.
2 AIP Grant #34	FAA	Construct South Aircraft Remain-Overnight Apron - Design Phase	Open	332,707	0	38,866	Remaining available funds for future claims is \$800,681. \$293,841 received in 1st Qtr.
3 TSA Explosives Detection Canine Teams	Transportation Security Administration (TSA)	Security Personnel Assigned to Explosive Detection Canine Program	Annual	56,077	0	39,982	Received grant award of \$150,000 for the period of 10/1/05 through 9/30/06. \$16,095 received in 1st Qtr.
4 TSA Law Enforcement Officers (LEOs)	TSA	Security Personnel Assigned to Screening Checkpoints	Annual	505,093	139,769	46,476	Remaining available funds for future claims is \$517,404. \$135,360 received in 1st Qtr, \$183,488 received in 2nd Qtr.
5 Caltrans	State of California	Reimbursement of Elevated Roadways Seismic Retrofit	Open	52,182	52,182	0	Remaining avail. funds for future claims is \$450,000.
Total JWA:				\$ 1,901,951	\$ 381,737	\$ 148,128	

Department/Agency:**Contact Name & Phone Number:****Number of FTE's responsible for grant writing:****Annual staff and any services & supplies costs related to the grant function:****Specify any special services/tools/resources used to track available grants:****REGISTRAR OF VOTERS (Agency 031)**

Kate Gold 567-5107

Varies - ROV does not have staff solely assigned to grant writing

Varies - ROV does not have staff solely assigned to a grant function

State and Federal agencies website; contacts and other sources from other Counties

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 Voter Verifiable Paper Audit Trail (VVPAT)	Help America Vote Act (HAVA)	Purchase & Installation of the Voter Verifiable Paper Audit Trail (VVPAT) devices on existing Direct Record Electronic (DRE) Voting system and related voter and poll worker outreach and education.	1-time	\$ 13,114,000	-	\$ 13,114,000	Application in Process.
2 Statewide Voter Database	Help America Vote Act (HAVA)	Per HAVA mandate, develop statewide voter registration database which requires Counties to exchange voter registration data with the Secretary of State.	1-time	\$ 1,037,016	-	\$ 1,037,016	Application in Process.
3 Election Assistance for Individuals with Disabilities (EAID)	Help America Vote Act (HAVA)	Improve poll site accessibility for individuals with disabilities.	1-time	\$ 287,936	-	\$ 287,936	Application in Process.
4 Reimbursement for Security Costs for DRE Counties	Secretary of State/Elections	Election Security	1-time	\$ 340,734	\$ 340,734	-	Approved. Grant awarded 3/20/06. Original grant amount was \$399,419. Upon review of claim, \$340,734 approved for payment and \$58,685 disallowed.
Total ROV:				\$ 14,779,686	\$ 340,734	\$ 14,438,952	

Department/Agency:

Contact Name & Phone Number:

Number of FTE's responsible for grant writing:

Annual staff and any services & supplies costs related to the grant function:

Specify any special services/tools/resources used to track available grants:

COUNTY EXECUTIVE OFFICE (Agency 036)

Anil Kukreja 834-4146

Name of Grant	Sponsoring Organization/ Grant Source	Funding Uses	Frequency of Grant (1-time, Annual,etc)	Eligible Amount	Amount Received	Amount Pending	(Approved/ Denied/Pending Notification/Application in Process)
1 HCS Public Facilities & Improvements Grant - CJC Floor-by-Floor ADA Improvements	Community Development Block Grant (CDBG) - administered by County of Orange Housing & Community Svcs.	If funded, the CDBG money will be used towards the renovation of restrooms on the 7th and 6th Floor of the Central Justice Center to make them ADA-compliant and accessible to people with disabilities. CDBG funds will also be utilized to put up signage and provide ADA-compliant interior path of travel , as required by the Americans with Disabilities Act, Title II.	1-time	\$ 50,000	\$	50,000	RDMD/A&E applied for this grant in October 2005. We have not heard from HCS regarding the status of this grant application. This is for fiscal year 2006-2007.
2 HCS Public Facilities & Improvements Grant - CJC Floor-by-Floor ADA Improvements	Community Development Block Grant (CDBG) - administered by County of Orange Housing & Community Svcs.	Mitigation of ADA barriers in 1,100 square feet of restrooms located on the 8th floor of the Central Justice Center. It will provide interior ADA-compliant path of travel; handicapped or disabled-accessible sinks and toilets in three restrooms; and signage in accordance with the County's ADA Transition Plan.	1-time	\$ 25,000	\$ 25,000	\$ -	Approved
3 Community Development Block Grant (CDBG) CJC Improvements for Weapons Screening Rm Project	HUD through HCS	If funded, the CDBG grant award of \$400,000 (minimum request amount) will be used for the evaluation and potential relocation or elimination of the weapons screening points which are currently located at the North/South and East Entrances. The project will enhance the emergency egress from the Central Courthouse for people with disabilities.	1-time	\$ 400,000	-	\$ 400,000	RDMD/A&E applied for this grant in October 2005. We have not heard from HCS regarding the status of this grant application. This is for fiscal year 2006-2007.
Total CEO:				\$ 475,000	\$ 25,000	\$ 450,000	
TOTAL COUNTY:				\$ 196,423,222	\$ 3,604,690	\$ 161,614,247	
Total FTE's Responsible for Grants:				10.85			
Estimated Annual Staffing & Related S&S Costs:				\$896,612			